3. Agency Component Abbreviations

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| Component Abbreviation | Component Name |
| OIG | OIG - Office of the Inspector General |
| HQ | HQ-Headquarters |
| RO1 | Boston Regional Office (Boston, Hartford, Manchester, Providence) |
| RO2 | New York Regional Office (New York, Albany, Buffalo, Camden, Newark, Syracuse) |
| RO3 | Philadelphia Regional Office (Philadelphia, Baltimore, Pittsburgh, Richmond, Washington, DC, Charleston, Wilmington) |
| RO4 | Atlanta Regional Office (Atlanta, Birmingham, Caribbean, Columbia, Greensboro, Jackson, Jacksonville, Knoxville, Louisville, Nashville, Memphis, Miami, Orlando, Tampa) |
| RO5 | Chicago Regional Office (Chicago, Columbus, Cleveland, Detroit, Annapolis, Milwaukee, Minneapolis-St. Paul) |
| RO6 | Ft. Worth Regional Office (Ft. Worth, Albuquerque, Houston, Little Rock, New Orleans, Oklahoma, San Antonio) |
| RO7 | Kansas City Regional Office (Kansas City, Des Moines, Omaha, St. Louis) |
| RO8 | Denver Regional Office (Denver) |
| RO9 | San Francisco Regional Office (San Francisco, Honolulu, Las Vegas, Los Angeles, Phoenix, Reno, Santa Ana) |
| ROX | Seattle Regional Office (Seattle, Anchorage, Portland) |
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| **IV. Exemption 3 Statutes** |

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| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
| 42 U.S.C. § 3610(d) (Fair Housing Act) | Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted & motion to remand denied, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | HQ | 1 | 13 |
|  |  | RO5 | 2 |
|  |  | RO9 | 7 |
|  |  | ROX | 3 |
| Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319 | Certain records pertaining to grand jury proceedings | Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Fund for Constitutional Gov't v. Nat'l Archives & Records Serv., 656 F.2d 856, 867-68 (D.C. Cir. 1981); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at \*2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008). | RO4 | 3 | 3 |
|  |  |  |  |
| 41 U.S.C. § 253b(m)(1) (currently at 41 U.S.C. § 4702) | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at \*13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at \*6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | RO4 | 1 | 1 |
|  |  |  |  |
| 42 U.S.C. § 3537a | Covered information during the grant selection process |  | RO5 | 1 | 1 |
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**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
| OIG | 21 | 106 | 110 | 17 |
| HQ | 348 | 1,338 | 905 | 781 |
| RO1 | 3 | 80 | 74 | 9 |
| RO2 | 9 | 74 | 73 | 10 |
| RO3 | 11 | 99 | 104 | 6 |
| RO4 | 25 | 168 | 170 | 23 |
| RO5 | 33 | 110 | 124 | 19 |
| RO6 | 4 | 91 | 87 | 8 |
| RO7 | 0 | 22 | 21 | 1 |
| RO8 | 7 | 37 | 39 | 5 |
| RO9 | 43 | 127 | 143 | 27 |
| ROX | 1 | 44 | 40 | 5 |
| AGENCY OVERALL | 505 | 2,296 | 1,890 | 911 |

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| *After reviewing its databases, RO1, RO5, and RO9 adjusted the number of requests pending as of the start of the Fiscal Year.* |
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**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | |  |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| OIG | 17 | 41 | 16 | 20 | 1 | 5 | 1 | 1 | 4 | 3 | 1 | 0 | 110 |
| HQ | 138 | 120 | 10 | 151 | 221 | 154 | 18 | 11 | 14 | 5 | 61 | 2 | 905 |
| RO1 | 42 | 8 | 3 | 5 | 6 | 7 | 0 | 0 | 1 | 2 | 0 | 0 | 74 |
| RO2 | 27 | 13 | 1 | 20 | 4 | 2 | 1 | 2 | 1 | 0 | 2 | 0 | 73 |
| RO3 | 33 | 36 | 6 | 19 | 5 | 3 | 0 | 0 | 1 | 0 | 1 | 0 | 104 |
| RO4 | 67 | 25 | 22 | 22 | 5 | 6 | 4 | 4 | 9 | 3 | 3 | 0 | 170 |
| RO5 | 41 | 42 | 2 | 22 | 8 | 2 | 3 | 0 | 1 | 3 | 0 | 0 | 124 |
| RO6 | 34 | 18 | 4 | 13 | 7 | 9 | 1 | 1 | 0 | 0 | 0 | 0 | 87 |
| RO7 | 2 | 2 | 3 | 9 | 1 | 1 | 0 | 1 | 2 | 0 | 0 | 0 | 21 |
| RO8 | 11 | 12 | 0 | 6 | 1 | 5 | 0 | 1 | 2 | 0 | 1 | 0 | 39 |
| RO9 | 29 | 42 | 4 | 31 | 5 | 16 | 0 | 2 | 4 | 0 | 10 | 0 | 143 |
| ROX | 6 | 24 | 0 | 3 | 1 | 1 | 1 | 0 | 2 | 0 | 2 | 0 | 40 |
| AGENCY OVERALL | 447 | 383 | 71 | 321 | 265 | 211 | 29 | 23 | 41 | 16 | 81 | 2 | 1,890 |

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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| OIG | N/A | 0 | 0 |
|  |  |
| HQ | Litigation | 2 | 2 |
|  |  |
| RO1 | N/A | 0 | 0 |
|  |  |
| RO2 | N/A | 0 | 0 |
|  |  |
| RO3 | N/A | 0 | 0 |
|  |  |
| RO4 | N/A | 0 | 0 |
|  |  |
| RO5 | N/A | 0 | 0 |
|  |  |
| RO6 | N/A | 0 | 0 |
|  |  |
| RO7 | N/A | 0 | 0 |
|  |  |
| RO8 | N/A | 0 | 0 |
|  |  |
| RO9 | N/A | 0 | 0 |
|  |  |
| ROX | N/A | 0 | 0 |
|  |  |
| AGENCY OVERALL |  |  | 2 |
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**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| OIG | 0 | 0 | 0 | 4 | 21 | 7 | 10 | 0 | 40 | 0 | 14 | 0 | 0 | 0 |
| HQ | 1 | 0 | 1 | 4 | 10 | 84 | 4 | 0 | 1 | 0 | 3 | 0 | 0 | 0 |
| RO1 | 0 | 0 | 0 | 4 | 2 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO2 | 0 | 0 | 0 | 2 | 1 | 6 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| RO3 | 0 | 0 | 0 | 4 | 4 | 29 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO4 | 0 | 1 | 4 | 5 | 2 | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO5 | 0 | 1 | 3 | 9 | 8 | 37 | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| RO6 | 0 | 0 | 0 | 3 | 2 | 13 | 5 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| RO7 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO8 | 0 | 0 | 0 | 0 | 1 | 10 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| RO9 | 0 | 0 | 7 | 13 | 5 | 31 | 5 | 0 | 14 | 0 | 0 | 0 | 0 | 0 |
| ROX | 0 | 0 | 3 | 4 | 3 | 20 | 8 | 0 | 6 | 0 | 8 | 0 | 0 | 0 |
| AGENCY OVERALL | 1 | 2 | 18 | 52 | 59 | 256 | 37 | 0 | 66 | 0 | 25 | 0 | 0 | 0 |

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**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
| OIG | 2 | 2 | 3 | 1 |
| HQ | 10 | 42 | 44 | 8 |
| RO1 | 0 | 1 | 1 | 0 |
| RO2 | 0 | 1 | 1 | 0 |
| RO3 | 0 | 3 | 3 | 0 |
| RO4 | 1 | 0 | 1 | 0 |
| RO5 | 2 | 0 | 2 | 0 |
| RO6 | 0 | 1 | 1 | 0 |
| RO7 | 0 | 2 | 1 | 1 |
| RO8 | 0 | 1 | 1 | 0 |
| RO9 | 1 | 1 | 2 | 0 |
| ROX | 0 | 2 | 1 | 1 |
| AGENCY OVERALL | 16 | 56 | 61 | 11 |

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| *After reviewing its databases, OIG, HQ, and RO5 adjusted the number of appeals pending as of the start of the Fiscal Year.* |
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**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| OIG | 2 | 1 | 0 | 0 | 3 |
| HQ | 23 | 1 | 3 | 17 | 44 |
| RO1 | 1 | 0 | 0 | 0 | 1 |
| RO2 | 1 | 0 | 0 | 0 | 1 |
| RO3 | 2 | 0 | 0 | 1 | 3 |
| RO4 | 0 | 1 | 0 | 0 | 1 |
| RO5 | 1 | 0 | 0 | 1 | 2 |
| RO6 | 1 | 0 | 0 | 0 | 1 |
| RO7 | 0 | 0 | 0 | 1 | 1 |
| RO8 | 1 | 0 | 0 | 0 | 1 |
| RO9 | 1 | 0 | 0 | 1 | 2 |
| ROX | 0 | 1 | 0 | 0 | 1 |
| AGENCY OVERALL | 33 | 4 | 3 | 21 | 61 |

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**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| HQ | 0 | 0 | 0 | 0 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO4 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO5 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ROX | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 1 | 1 | 4 | 2 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |

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**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HQ | 2 | 2 | 7 | 1 | 0 | 2 | 0 | 1 | 0 | 2 | 0 |
| RO1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO5 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| RO6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| RO8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO9 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ROX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 4 | 2 | 7 | 1 | 0 | 3 | 0 | 2 | 0 | 2 | 0 |

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**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| OIG | N/A | 0 | 0 |
|  |  |
| HQ | N/A | 0 | 0 |
|  |  |
| RO1 | N/A | 0 | 0 |
|  |  |
| RO2 | N/A | 0 | 0 |
|  |  |
| RO3 | N/A | 0 | 0 |
|  |  |
| RO4 | N/A | 0 | 0 |
|  |  |
| RO5 | N/A | 0 | 0 |
|  |  |
| RO6 | N/A | 0 | 0 |
|  |  |
| RO7 | N/A | 0 | 0 |
|  |  |
| RO8 | N/A | 0 | 0 |
|  |  |
| RO9 | N/A | 0 | 0 |
|  |  |
| ROX | N/A | 0 | 0 |
|  |  |
| AGENCY OVERALL |  |  | 0 |
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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

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| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| OIG | 13.00 | 13.67 | 11.00 | 17.00 |
| HQ | 22.00 | 56.25 | 1.00 | 571.00 |
| RO1 | 21.00 | 21.00 | 21.00 | 21.00 |
| RO2 | 20.00 | 20.00 | 20.00 | 20.00 |
| RO3 | 13.50 | 13.50 | 10.00 | 16.00 |
| RO4 | 29.00 | 29.00 | 29.00 | 29.00 |
| RO5 | 31.00 | 31.00 | 20.00 | 42.00 |
| RO6 | <1 | <1 | <1 | <1 |
| RO7 | 29.00 | 29.00 | 29.00 | 29.00 |
| RO8 | 48.00 | 48.00 | 48.00 | 48.00 |
| RO9 | 67.00 | 67.00 | 60.00 | 74.00 |
| ROX | 39.00 | 39.00 | 39.00 | 39.00 |
| AGENCY OVERALL | 21.00 | 50.66 | <1 | 571.00 |

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**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
| OIG | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2017-09-21 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 |
| HQ | Date of Appeal | N/A | N/A | 2017-09-27 | 2017-09-18 | 2017-09-11 | 2017-05-30 | 2016-10-20 | 2016-07-13 | 2016-02-02 | 2013-08-15 |
| Number of Days Pending | 0 | 0 | 2 | 9 | 14 | 86 | 237 | 306 | 419 | 1022 |
| RO1 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO2 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO3 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO4 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO5 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO6 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO7 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2017-06-06 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 81 |
| RO8 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO9 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ROX | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2017-09-18 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 |
| AGENCY OVERALL | Date of Appeal | 2017-09-21 | 2017-09-18 | 2017-09-18 | 2017-09-11 | 2017-06-06 | 2017-05-30 | 2016-10-20 | 2016-07-13 | 2016-02-02 | 2013-08-15 |
| Number of Days Pending | 6 | 9 | 9 | 14 | 81 | 86 | 237 | 306 | 419 | 1022 |

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**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| OIG | 14 | 14.68 | 1 | 113 | 9 | 102.67 | 7 | 292 | N/A | N/A | N/A | N/A |
| HQ | 49 | 82.21 | <1 | 669 | 87 | 139.67 | <1 | 863 | 38.5 | 40.25 | 21 | 63 |
| RO1 | 10.5 | 13.11 | <1 | 112 | 56 | 49.71 | 18 | 94 | N/A | N/A | N/A | N/A |
| RO2 | 19 | 18.02 | <1 | 49 | 27 | 28.56 | 1 | 64 | 2.5 | 2.5 | <1 | 5 |
| RO3 | 11 | 17.25 | <1 | 138 | 34 | 54.92 | 9 | 175 | 3 | 3 | 3 | 3 |
| RO4 | 18 | 37.1 | <1 | 545 | 16 | 21.87 | <1 | 85 | 17 | 17 | 17 | 17 |
| RO5 | 22 | 30.45 | <1 | 218 | 35 | 58.85 | 3 | 319 | 14.5 | 14.5 | 1 | 28 |
| RO6 | 13 | 13.71 | <1 | 70 | 29 | 30.11 | 19 | 65 | 5 | 5 | 5 | 5 |
| RO7 | 7.5 | 13.13 | <1 | 41 | 14 | 14 | 14 | 14 | N/A | N/A | N/A | N/A |
| RO8 | 18 | 22.34 | <1 | 83 | 24 | 33.67 | 19 | 58 | N/A | N/A | N/A | N/A |
| RO9 | 17 | 55.25 | <1 | 612 | 77.5 | 146.28 | <1 | 711 | N/A | N/A | N/A | N/A |
| ROX | 17 | 16.61 | <1 | 27 | 11 | 14.25 | 2 | 33 | 4.5 | 4.5 | 4 | 5 |
| AGENCY OVERALL | 17 | 27.82 | <1 | 669 | 28 | 57.88 | <1 | 863 | 5 | 12.39 | <1 | 63 |

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**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| OIG | 16 | 16.51 | 2 | 113 | 292 | 292 | 292 | 292 | N/A | N/A | N/A | N/A |
| HQ | 73.5 | 111.16 | <1 | 669 | 122 | 152.95 | <1 | 863 | 55.5 | 55.5 | 48 | 63 |
| RO1 | 11 | 14.27 | <1 | 112 | 56 | 50.2 | 18 | 94 | N/A | N/A | N/A | N/A |
| RO2 | 21 | 20.41 | 2 | 49 | 30 | 33.45 | 20 | 64 | 2.5 | 2.5 | <1 | 5 |
| RO3 | 12 | 15.93 | 1 | 77 | 34 | 58.75 | 10 | 175 | 3 | 3 | 3 | 3 |
| RO4 | 20 | 45.41 | 1 | 545 | 21 | 25.65 | 10 | 85 | 17 | 17 | 17 | 17 |
| RO5 | 24 | 33.67 | <1 | 218 | 35 | 66.1 | 3 | 319 | 28 | 28 | 28 | 28 |
| RO6 | 13.5 | 12.09 | <1 | 34 | 29 | 31.29 | 19 | 65 | 5 | 5 | 5 | 5 |
| RO7 | 17 | 20.25 | 6 | 41 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| RO8 | 18 | 21.1 | <1 | 83 | 41 | 41 | 24 | 58 | N/A | N/A | N/A | N/A |
| RO9 | 21 | 57.73 | 2 | 523 | 51 | 113.92 | <1 | 711 | N/A | N/A | N/A | N/A |
| ROX | 19 | 17.19 | <1 | 27 | 26.5 | 26.5 | 20 | 33 | 4.5 | 4.5 | 4 | 5 |
| AGENCY OVERALL | 18.5 | 32.14 | <1 | 669 | 35 | 81.074 | <1 | 863 | 5 | 16.5 | <1 | 63 |

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**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| OIG | 91 | 9 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 102 |
| HQ | 208 | 83 | 51 | 35 | 31 | 24 | 20 | 13 | 7 | 4 | 26 | 4 | 12 | 518 |
| RO1 | 54 | 9 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 65 |
| RO2 | 28 | 20 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 49 |
| RO3 | 65 | 14 | 1 | 3 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 85 |
| RO4 | 72 | 35 | 5 | 4 | 1 | 2 | 1 | 0 | 0 | 0 | 0 | 1 | 3 | 124 |
| RO5 | 50 | 34 | 11 | 5 | 0 | 1 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 104 |
| RO6 | 63 | 6 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 71 |
| RO7 | 12 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16 |
| RO8 | 21 | 9 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 33 |
| RO9 | 55 | 18 | 8 | 1 | 0 | 1 | 3 | 0 | 2 | 1 | 1 | 2 | 3 | 95 |
| ROX | 23 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 31 |
| AGENCY OVERALL | 742 | 248 | 82 | 50 | 33 | 30 | 26 | 14 | 9 | 5 | 29 | 7 | 18 | 1,293 |

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**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| OIG | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 3 |
| HQ | 95 | 42 | 41 | 29 | 21 | 7 | 18 | 18 | 11 | 8 | 29 | 19 | 18 | 356 |
| RO1 | 1 | 2 | 2 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 |
| RO2 | 4 | 10 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16 |
| RO3 | 6 | 2 | 0 | 1 | 1 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 13 |
| RO4 | 17 | 8 | 4 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 |
| RO5 | 2 | 6 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 13 |
| RO6 | 2 | 7 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 |
| RO7 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| RO8 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| RO9 | 9 | 7 | 3 | 2 | 3 | 1 | 1 | 2 | 1 | 2 | 2 | 3 | 4 | 40 |
| ROX | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| AGENCY OVERALL | 143 | 86 | 54 | 37 | 27 | 10 | 19 | 20 | 13 | 10 | 32 | 23 | 22 | 496 |

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**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HQ | 0 | 2 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| RO1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| RO3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| RO4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| RO5 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| RO6 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| RO7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ROX | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| AGENCY OVERALL | 8 | 3 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 |

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**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| OIG | 9 | 8 | 11 | 3 | 37 | 36 | 0 | N/A | N/A |
| HQ | 392 | 76 | 105 | 217 | 162 | 188 | 2 | 80.5 | 80 |
| RO1 | 5 | 9 | 9 | 3 | 33 | 106 | 0 | N/A | N/A |
| RO2 | 6 | 4 | 40 | 3 | 3 | 2 | 0 | N/A | N/A |
| RO3 | 4 | 5.5 | 75 | 1 | 1 | 1 | 0 | N/A | N/A |
| RO4 | 17 | 20 | 26 | 0 | N/A | N/A | 0 | N/A | N/A |
| RO5 | 14 | 17 | 55 | 4 | 74 | 75 | 0 | N/A | N/A |
| RO6 | 2 | 16.5 | 16 | 2 | 23 | 23 | 0 | N/A | N/A |
| RO7 | 1 | 10 | 10 | 0 | N/A | N/A | 0 | N/A | N/A |
| RO8 | 5 | 5 | 18 | 0 | N/A | N/A | 0 | N/A | N/A |
| RO9 | 6 | 7 | 49 | 13 | 159 | 302 | 0 | N/A | N/A |
| ROX | 2 | 9 | 9 | 3 | 47 | 47 | 0 | N/A | N/A |
| AGENCY OVERALL | 463 | 29 | 78.65 | 249 | 136 | 181.75 | 2 | 80.5 | 80.5 |

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**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
| OIG | Date of Receipt | 2017-09-21 | 2017-09-21 | 2017-09-19 | 2017-09-11 | 2017-09-06 | 2017-08-28 | 2017-08-23 | 2017-08-15 | 2017-08-08 | 2017-08-03 |
| Number of Days Pending | 6 | 6 | 8 | 14 | 17 | 23 | 26 | 32 | 37 | 40 |
| HQ | Date of Receipt | 2015-09-24 | 2015-09-22 | 2015-09-02 | 2015-08-25 | 2015-07-08 | 2015-06-22 | 2015-06-12 | 2015-03-03 | 2015-01-13 | 2014-08-15 |
| Number of Days Pending | 506 | 508 | 521 | 527 | 561 | 572 | 578 | 650 | 683 | 784 |
| RO1 | Date of Receipt | N/A | N/A | 2017-09-27 | 2017-09-18 | 2017-09-18 | 2017-09-13 | 2017-09-11 | 2017-09-01 | 2017-08-14 | 2016-09-07 |
| Number of Days Pending | 0 | 0 | 2 | 9 | 9 | 12 | 14 | 19 | 33 | 267 |
| RO2 | Date of Receipt | N/A | 2017-09-29 | 2017-09-28 | 2017-09-27 | 2017-09-26 | 2017-09-26 | 2017-09-25 | 2017-09-22 | 2017-05-11 | 2017-03-24 |
| Number of Days Pending | 0 | 0 | 1 | 2 | 3 | 3 | 4 | 5 | 98 | 132 |
| RO3 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | 2017-09-29 | 2017-09-28 | 2017-09-22 | 2017-09-21 | 2016-08-02 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 5 | 6 | 292 |
| RO4 | Date of Receipt | 2017-09-06 | 2017-08-31 | 2017-08-25 | 2017-08-23 | 2017-08-18 | 2017-08-09 | 2017-08-02 | 2017-07-28 | 2017-06-29 | 2017-06-08 |
| Number of Days Pending | 17 | 20 | 24 | 26 | 29 | 36 | 41 | 44 | 64 | 79 |
| RO5 | Date of Receipt | 2017-08-29 | 2017-08-28 | 2017-08-18 | 2017-08-17 | 2017-04-13 | 2017-03-15 | 2017-03-02 | 2017-02-27 | 2017-02-23 | 2016-12-15 |
| Number of Days Pending | 22 | 23 | 29 | 30 | 118 | 139 | 148 | 151 | 153 | 199 |
| RO6 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | 2017-09-12 | 2017-09-12 | 2017-08-31 | 2017-08-14 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 13 | 13 | 20 | 33 |
| RO7 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2017-09-15 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 |
| RO8 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | 2017-09-26 | 2017-09-22 | 2017-09-22 | 2017-09-12 | 2017-06-23 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 3 | 5 | 5 | 13 | 68 |
| RO9 | Date of Receipt | 2017-06-14 | 2017-05-22 | 2017-02-14 | 2017-02-14 | 2016-09-07 | 2016-01-19 | 2016-01-19 | 2015-10-28 | 2013-09-24 | 2013-09-04 |
| Number of Days Pending | 75 | 91 | 159 | 159 | 267 | 429 | 429 | 483 | 997 | 1011 |
| ROX | Date of Receipt | N/A | N/A | N/A | N/A | N/A | 2017-09-28 | 2017-09-06 | 2017-08-31 | 2017-07-25 | 2017-06-14 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 1 | 17 | 20 | 47 | 75 |
| AGENCY OVERALL | Date of Receipt | 2015-09-02 | 2015-08-25 | 2015-07-08 | 2015-06-22 | 2015-06-12 | 2015-03-03 | 2015-01-13 | 2014-08-15 | 2013-09-24 | 2013-09-04 |
| Number of Days Pending | 521 | 527 | 561 | 572 | 578 | 650 | 683 | 784 | 997 | 1011 |

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**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
| OIG | 0 | 5 | 1 | 1 | 5 |
| HQ | 6 | 59 | 1 | 3.51 | 66 |
| RO1 | 0 | 0 | N/A | N/A | 0 |
| RO2 | 2 | 4 | 1 | 2.67 | 6 |
| RO3 | 1 | 2 | 3 | 4.67 | 2 |
| RO4 | 1 | 4 | 2 | 1.4 | 5 |
| RO5 | 2 | 2 | 19 | 33.5 | 2 |
| RO6 | 1 | 2 | 9 | 7 | 2 |
| RO7 | 0 | 0 | N/A | N/A | 0 |
| RO8 | 0 | 0 | N/A | N/A | 0 |
| RO9 | 0 | 1 | 24 | 24 | 0 |
| ROX | 3 | 1 | 1 | 2.25 | 4 |
| AGENCY OVERALL | 16 | 80 | 2 | 6.78 | 92 |

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**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
| OIG | 0 | 14 | 1 | 1 |
| HQ | 9 | 52 | 1 | 1.52 |
| RO1 | 1 | 1 | 6.5 | 6.5 |
| RO2 | 0 | 3 | 1 | 5.33 |
| RO3 | 4 | 0 | 15 | 21.75 |
| RO4 | 4 | 5 | 1 | 1.33 |
| RO5 | 13 | 1 | 2 | 7.29 |
| RO6 | 3 | 0 | 20 | 20 |
| RO7 | 0 | 0 | N/A | N/A |
| RO8 | 0 | 0 | N/A | N/A |
| RO9 | 5 | 2 | 4 | 16 |
| ROX | 0 | 0 | N/A | N/A |
| AGENCY OVERALL | 39 | 78 | 1.5 | 5.15 |

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| **IX. FOIA Personnel and Costs** |

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| --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | PERSONNEL | | | COSTS | | |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| OIG | 6 | 0 | 6 | $13,050.00 | $0.00 | $13,050.00 |
| HQ | 11 | 19 | 30 | $33,417.54 | $0.00 | $33,417.54 |
| RO1 | 1 | 0 | 1 | $3,922.92 | $0.00 | $3,922.92 |
| RO2 | 2 | 1 | 3 | $2,161.60 | $0.00 | $2,161.60 |
| RO3 | 2 | 1 | 3 | $7,034.70 | $0.00 | $7,034.70 |
| RO4 | 3 | 2 | 5 | $4,227.84 | $0.00 | $4,227.84 |
| RO5 | 2 | 1 | 3 | $2,156.60 | $0.00 | $2,156.60 |
| RO6 | 3 | 2 | 5 | $7,807.30 | $0.00 | $7,807.30 |
| RO7 | 1 | 0 | 1 | $147.16 | $0.00 | $147.16 |
| RO8 | 3 | 2 | 5 | $579.70 | $0.00 | $579.70 |
| RO9 | 3 | 2 | 5 | $3,292.91 | $0.00 | $3,292.91 |
| ROX | 2 | 1 | 3 | $811.20 | $0.00 | $811.20 |
| AGENCY OVERALL | 39 | 31 | 70 | $78,609.47 | $0.00 | $78,609.47 |

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| **X. Fees Collected for Processing Requests** |

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| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| OIG | $5,006.00 | 38.36% |
| HQ | $13,464.80 | 40.29% |
| RO1 | $3,420.60 | 87.20% |
| RO2 | $2,003.10 | 92.67% |
| RO3 | $417.90 | 5.94% |
| RO4 | $4,038.40 | 95.52% |
| RO5 | $1,985.40 | 92.06% |
| RO6 | $178.10 | 2.28% |
| RO7 | $147.16 | 100.00% |
| RO8 | $0.00 | 0.00% |
| RO9 | $3,292.91 | 100.00% |
| ROX | $0.00 | 0.00% |
| AGENCY OVERALL | $33,954.37 | 43.19% |

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| **XI.A. Number of Times Subsection Used** |

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| --- | --- |
| Agency / Component | Number of Times Subsection Used |
| OIG | 0 |
| HQ | 0 |
| RO1 | 0 |
| RO2 | 0 |
| RO3 | 0 |
| RO4 | 0 |
| RO5 | 0 |
| RO6 | 0 |
| RO7 | 0 |
| RO8 | 0 |
| RO9 | 0 |
| ROX | 0 |
| AGENCY OVERALL | 0 |

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| **XI.B. Number of Subsection Postings** |

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| --- | --- | --- |
| Agency / Component | Number of Records Posted by the FOIA Office | Number of Records Posted by Program Offices |
| OIG | 0 | 0 |
| HQ | 0 | 0 |
| RO1 | 0 | 0 |
| RO2 | 0 | 0 |
| RO3 | 0 | 0 |
| RO4 | 0 | 0 |
| RO5 | 0 | 0 |
| RO6 | 0 | 0 |
| RO7 | 0 | 0 |
| RO8 | 0 | 0 |
| RO9 | 0 | 0 |
| ROX | 0 | 0 |
| AGENCY OVERALL | 0 | 0 |

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| **XII.A. Backlogs of FOIA Requests and Administrative Appeals** |

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| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| OIG | 1 | 0 |
| HQ | 507 | 5 |
| RO1 | 1 | 0 |
| RO2 | 0 | 0 |
| RO3 | 0 | 0 |
| RO4 | 1 | 0 |
| RO5 | 8 | 0 |
| RO6 | 0 | 0 |
| RO7 | 0 | 0 |
| RO8 | 0 | 0 |
| RO9 | 8 | 0 |
| ROX | 1 | 0 |
| AGENCY OVERALL | 527 | 5 |

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**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year | Number of Consultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year |
| OIG | 0 | 0 | 0 | 0 |
| HQ | 0 | 4 | 4 | 0 |
| RO1 | 0 | 0 | 0 | 0 |
| RO2 | 0 | 0 | 0 | 0 |
| RO3 | 0 | 0 | 0 | 0 |
| RO4 | 0 | 0 | 0 | 0 |
| RO5 | 0 | 0 | 0 | 0 |
| RO6 | 0 | 0 | 0 | 0 |
| RO7 | 0 | 0 | 0 | 0 |
| RO8 | 0 | 0 | 0 | 0 |
| RO9 | 0 | 0 | 0 | 0 |
| ROX | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 4 | 4 | 0 |

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**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
| OIG | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HQ | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO1 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO2 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO3 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO4 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO5 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO6 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO7 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO8 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RO9 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ROX | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

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| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| OIG | 150 | 106 | 138 | 110 |
| HQ | 1,057 | 1,338 | 1,014 | 905 |
| RO1 | 75 | 80 | 79 | 74 |
| RO2 | 107 | 74 | 108 | 73 |
| RO3 | 143 | 99 | 142 | 104 |
| RO4 | 261 | 168 | 266 | 170 |
| RO5 | 148 | 110 | 140 | 124 |
| RO6 | 105 | 91 | 103 | 87 |
| RO7 | 19 | 22 | 23 | 21 |
| RO8 | 72 | 37 | 67 | 39 |
| RO9 | 159 | 127 | 152 | 143 |
| ROX | 44 | 44 | 46 | 40 |
| AGENCY OVERALL | 2,340 | 2,296 | 2,278 | 1,890 |

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| *After reviewing its database, HQ updated the number of requests received and processed from the previous Fiscal Year.* |
| *After reviewing their databases, OIG and RO1 updated the number of requests processed from the previous Fiscal Year.* |
| *After reviewing their databases, RO5 and RO9 updated the number of requests received from the previous Fiscal Year.* |
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**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| OIG | 1 | 1 |
| HQ | 270 | 507 |
| RO1 | 1 | 1 |
| RO2 | 1 | 0 |
| RO3 | 4 | 0 |
| RO4 | 10 | 1 |
| RO5 | 8 | 8 |
| RO6 | 1 | 0 |
| RO7 | 0 | 0 |
| RO8 | 0 | 0 |
| RO9 | 30 | 8 |
| ROX | 0 | 1 |
| AGENCY OVERALL | 326 | 527 |

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| *After reviewing their databases, HQ, RO1, RO2, RO6, and RO8 updated the number of backlogged requests from the previous Fiscal Year.* |
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**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

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| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | | NUMBER OF APPEALS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| OIG | 9 | 2 | 8 | 3 |
| HQ | 57 | 42 | 58 | 44 |
| RO1 | 0 | 1 | 0 | 1 |
| RO2 | 0 | 1 | 0 | 1 |
| RO3 | 3 | 3 | 3 | 3 |
| RO4 | 3 | 0 | 2 | 1 |
| RO5 | 3 | 0 | 1 | 2 |
| RO6 | 2 | 1 | 2 | 1 |
| RO7 | 1 | 2 | 1 | 1 |
| RO8 | 0 | 1 | 0 | 1 |
| RO9 | 3 | 1 | 2 | 2 |
| ROX | 0 | 2 | 0 | 1 |
| AGENCY OVERALL | 81 | 56 | 77 | 61 |

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| *After reviewing their databases, HQ and RO5 updated the number of appeals received from the previous Fiscal Year.* |
| *After reviewing its database, OIG updated the number of appeals received and processed from the previous Fiscal Year.* |
|  |

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| OIG | 3 | 0 |
| HQ | 8 | 5 |
| RO1 | 0 | 0 |
| RO2 | 0 | 0 |
| RO3 | 0 | 0 |
| RO4 | 0 | 0 |
| RO5 | 0 | 0 |
| RO6 | 0 | 0 |
| RO7 | 0 | 0 |
| RO8 | 0 | 0 |
| RO9 | 1 | 0 |
| ROX | 0 | 0 |
| AGENCY OVERALL | 12 | 5 |

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